

GP 1780 0940 L -oked-up 3/17/01
SECTOR

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

HAYASHI et al.

Serial No. 09/710,834



Atty. Ref.: 1417-332

Group: 1772

Filed: November 14, 2000

Examiner:

For: BLACK MAGNETIC ACICULAR COMPOSITE
PARTICLES FOR MAGNETIC RECORDING MEDIUM
AND MAGNETIC RECORDING MEDIUM USING THE
SAME

* * * * *

March 2, 2001

Honorable Commissioner of Patents
and Trademarks
Washington, DC 20231

Sir:

**RESPONSE TO NOTIFICATION OF MISSING
PARTS UNDER 37 CFR 1.53(b)**

Sir:

Responsive to the Notification of Missing Requirements mailed February 22, 2001 (a copy of which is attached), it is respectfully submitted that the executed Declaration and Assignment were filed on February 8, 2001. A copy of the PTO mail room date-stamped postcard indicating receipt of the executed Declaration is attached hereto.

Confirmation of receipt of the executed Declaration is respectfully requested.

TECHNOLOGY CENTER 1700

MAR 19 2001

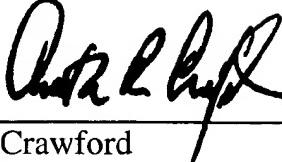
RECEIVED

HAYASHI et al.
Serial No. 09/710,834

Respectfully submitted,

NIXON & VANDERHYE P.C.

By:


Arthur R. Crawford
Reg. No. 25,327

ARC:pfc
1100 North Glebe Road, 8th Floor
Arlington, VA 22201-4714
Telephone: (703) 816-4000
Facsimile: (703) 816-4100

TECHNOLOGY CENTRE 1700
MAR 19 2001
RECEIVED



UNITED STATES PATENT AND TRADEMARK OFFICE



COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/710,834	11/14/2000	Kazuyuki Hayashi	1417-332

Nixon & Vanderhye PC
1100 North Glebe Road
8th Floor
Arlington, VA 22201-4714

FORMALITIES LETTER



OC000000005788960

Date Mailed: 02/22/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

*A copy of this notice **MUST** be returned with the reply.*


Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

TECHNOLOGY CENTER 1700
MAR 19 2001
RECEIVED

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: [REDACTED]

HAYASHI et al.

Serial No. 09/710,834

Filed: November 14, 2000

For: BLACK MAGNETIC ACICULAR COMPOSITE PARTICLES FOR MAGNETIC RECORDING MEDIUM AND MAGNETIC RECORDING MEDIUM USING THE SAME

Assistant Commissioner for Patents

Washington, D.C. 20231

The attached completes filing of the above-identified patent application:

- Signed Rule 63 Declaration alone OR
 Signed Declaration plus attached copy of originally filed specification/drawings.
 NOTICE TO FILE MISSING PARTS OF APPLICATION FILING DATE GRANTED form.
 Record and return the attached assignment.
 Priority is hereby claimed per Rule 55 & 35 USC119 based on prior foreign application(s) Nos.:

Application Nos.	Country	Filing Date
11-324150	Japan	15 November 1999
11-326191	Japan	16 November 1999

respectively.

 This application is based on the following prior provisional application(s):

Application No. Filing Date

respectively and priority is hereby claimed therefrom.

Certified copy(ies) of foreign and/or provisional application(s): attached; already filed on November 14, 2000 in U.S. Application Serial No. 09/710,834, filed on November 14, 2000.

- The undersigned verifies that the above-identified application is identical to: filed , as amended on
 This application is entitled to "Small entity" status. "Small entity" statement attached.
 Also attached:

Fee(s) are attached as calculated below:

Basic filing fee		\$ 710.00
Total Effective claims 36 - 20 = 16 x \$ 18.00		\$ 288.00
Independent claims 5 - 3 = 2 x \$ 80.00		\$ 160.00
If any proper multiple dependent claims now added for first time, add \$270.00 (ignore improper)		\$ 0.00
FILING FEE		\$ 1,158.00
Petition is hereby made to extend the current due date so as to cover the filing date of this paper and attachment(s) (\$110.00/1 month; \$390.00/2 months; \$890.00/3 months; \$1390.00/4 months)		\$ 0.00
Surcharge (\$130.00) if Declaration or filing fee first now submitted		\$ 130.00
FIRST SUBTOTAL		\$ 1,288.00
If "small entity," enter half (½) of subtotal and subtract		\$ 0.00
SECOND SUBTOTAL		\$ 1,288.00
Assignment Recording Fee (\$40.00)		\$ 40.00
TOTAL FEE ENCLOSED		\$ 1,328.00

Any future submission requiring an extension of time is hereby stated to include a petition for such time extension. The Commissioner is hereby authorized to charge any deficiency in the fee(s) filed, or asserted to be filed, or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Account No. 14-1140. A duplicate copy of this sheet is attached.

1100 North Glebe Road, 8th Floor
Arlington, Virginia 22201-4714Telephone: (703) 816-4000
Fax: (703) 816-4100

C:pfc

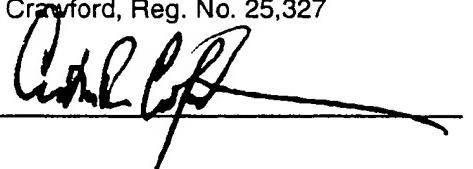
TECHNOLOGY CENTER 1700

MAR 19 2001

RECEIVED

NIXON & VANDERHYE P.C.

By Atty: Arthur R. Crawford, Reg. No. 25,327

Signature: 



Serial No.: 09/710,834
Inventor/s: HAYASHI et al.

Atty: Arthur R. Crawford
Date: February 8, 2001
C#/M#: 1417-332

Title: BLACK MAGNETIC ACICULAR COMPOSITE
PARTICLES FOR MAGNETIC RECORDING MEDIUM
AND MAGNETIC RECORDING MEDIUM USING THE
SAME

Amendment
Pages Specification, Claims & Abstract
Claims
Sheets of Drawings
XX Declaration (2 Pages)
XX Assignment (3 Pages) Including Cover & 2001
Priority Document(s)
Base Issue Fee Transmittal
\$1328.00 Fee (Check)

Other:



RECEIVED

TECHNOLOGY CENTER 1700

MAR 19 2001